Date of Deposit

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Mail Stop Patent Application Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450 Atty Docket No.: A5152D4-T39540 Client Ref. No. 005152 D4 TTC No. 016301-039540US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Canfeng Lai, et al.

Application No.: Not yet assigned

Filed: Herewith

For: MULTI-CORE TRANSFORMER

PLASMA SOURCE

Customer No. 20350

Examiner:

Not yet assigned

Art Unit:

Not yet assigned

INFORMATION DISCLOSURE

STATEMENT UNDER 37 CFR §1.97 and

§1.98

Mail Stop Patent Application Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

The references cited on attached form PTO-1449 are being called to the attention of the Examiner. This application is a divisional application of and relies on U.S. Appl. No. 09/839,360, filed on April 20, 2001 (the "parent application") for an earlier effective filing date under 35 U.S.C. § 120. All of the references were submitted to or by the U.S. Patent and Trademark Office in the parent application. It is respectfully requested that the cited references be expressly considered during the prosecution of this application, and the references be made of record therein and appear among the "references cited" on any patent to issue therefrom.

As provided for by 37 CFR 1.97(g) and (h), no inference should be made that the information and references cited are prior art merely because they are in this statement and no

PATENT

representation is being made that a search has been conducted or that this statement encompasses all

the possible relevant information.

Applicant believes that <u>no fee is required</u> for submission of this statement, since it is being submitted prior to the first Office Action. However, if a fee is required, the Commissioner is authorized to deduct such fee from the undersigned's Deposit Account No. 20-1430. Please deduct any additional fees from, or credit any overpayment to, the above-noted Deposit Account.

Respectfully submitted,

Patrick M. Boucher

Reg. No. 44,037

TOWNSEND and TOWNSEND and CREW LLP Telephone (303) 571-4000 Fax (303) 571-4321 PMB/nlm

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FORM PTO-1449 (Modified) LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary)			Attorney Docket No.: A5152D4/T39540 Application No.: Unassigned				
			Applicant: CANFENG LAI et al.				
			Filing Date: Herewith		Group: Unassigned		
Reference Desig	gnation	U	J.S. PATENT DOCUM	ENTS		Page 1	
Examiner Initial	Document No.	Date	Name	Class	Sub-class	Filing Date (If Appropriate	
AA	3,291,715	12/13/66	G.S. Anderson	204	298		
AB	4,086,506	04/1978	Kustom et al.	310	74		
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FORM PTO-1449 (Modified) LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary)			Attorney Docket No.: A5152D4/T39540		Application No.: Unassigned	
			Applicant: CANFENG LAI et al.			
		Filing Date: Herewith		Group: Unassigned		
						(Yes/No)
BD	WO 90/10945	09/20/90	WIPO	H01J	27/16	yes
BE	WO 99/00823	01/07/99	WIPO	H01J	37/32	yes
BF	JP 2-260399	10/23/90	Japan	Н05Н	1/46	yes (abstract only)
BG	JP 5-166595	07/02/93	Japan	Н05Н	1/46	yes (abstract only)
ВН	JP 5-144594	06/11/93	Japan	Н05Н	1/46	yes (abstract only)

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		Applicant: CANFENG LAI et al.						
		Filing Date: Herewith	Group: Unassigned					
OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)								
BI	S.O. Dean et al., "Status and Objectives of Tokamak Systems for Fusion Research," <i>USERDA Report WASH-1295</i> , (1974) (available from the Superintendent of Documents, U.S. Government Printing Office) pp. i-106.							
BJ	H.P. Furth, "Tokamak Research," Nuclear Fusion, 15, pp. 487-534 (1975).							
BK	H.U. Eckert, "Induction Plasmas at Low Frequencies," AIAA Journal, Vol. 9, No. 8, pp. 1452-1456 (August 1971).							
BL	M.O. Hagler et al., "An Introduction to Controlled Thermonuclear Fusion," <i>Library of Congress Cataloging in Publication Data</i> , pp. 59-61 and 178 (1977).							
BM	E. Kändler et al., "Characterization of Plasma in an Inductively Coupled High-Dense Plasma Source," Surface and Coatings Technology, 74-75, pp. 539-545 (1995).							
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EXAMINER		DATE CONSIDERED						

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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